

STABLE ENVELOPES: GENERALIZATIONS

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1. ASSUMPTIONS

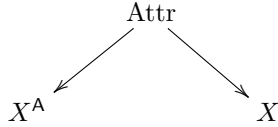
We work over the complex numbers. Let A be a torus and $T = A \times \mathbf{C}^\times$. Let $\Lambda_A = \text{Hom}(A, \mathbf{C}^\times)$ be the weight lattice of A . Let $\mathfrak{a}_{\mathbf{R}} = \text{Hom}(\mathbf{C}^\times, A) \otimes_{\mathbf{Z}} \mathbf{R}$ be the real locus of the Lie algebra of A .

Let X be a smooth quasi-projective variety with a T -action.

Definition 1.1. An *equivariant root* $\alpha \in \Lambda_A$ is a weight for the A -action of the normal bundle of the fixed point locus $X^A \subset X$. A *root hyperplane* is the hyperplane $\{\alpha = 0\} \subset \mathfrak{a}_{\mathbf{R}}$. A *chamber* $\mathfrak{C} \subset \mathfrak{a}_{\mathbf{R}}$ is a connected component of the complement of root hyperplanes.

Definition 1.2. Let \mathfrak{C} be a chamber. Given a subvariety $Y \subset X^A$ the *attracting variety of Y* $\text{Attr}_{\mathfrak{C}}(Y) \subset X$ consists of all points $x \in X$ such that the limit $\lim_{z \rightarrow 0} \sigma(z) \cdot x \in Y$ exists for some (equivalently, all) cocharacters $\sigma \in \mathfrak{C}$. The *attracting variety* $\text{Attr}_{\mathfrak{C}} \subset X^A \times X$ is the subset of pairs (y, x) , such that $\lim_{x \rightarrow 0} \sigma(z) \cdot x = y$.

We have a correspondence



Let

$$X^A = \coprod_i F_i$$

be the decomposition of the fixed point locus into connected components. Each F_i is a smooth variety. There is a partial order on the set of components of X^A defined as follows.

Definition 1.3. Let \mathfrak{C} be a chamber. $F_i \geq F_j$ if $F_j \subset \overline{\text{Attr}_{\mathfrak{C}}(F_i)}$. The *full attracting variety* is

$$\text{Attr}_{\mathfrak{C}}^f(F_i) = \coprod_{F_j \leq F_i} \text{Attr}_{\mathfrak{C}}(F_j).$$

We will assume the following on the above data.

Assumption 1.4. For any connected component $F_i \subset X^A$ the full attracting variety $\text{Attr}_{\mathfrak{C}}^f(F_i) \subset X$ is closed.

Remark 1.5. If X is an A -equivariant symplectic resolution, then the assumption is satisfied, see [MO19, Lemma 3.2.7].

The following is our main example.

Example 1.6. Consider $A = \mathbf{C}^\times \times \mathbf{C}^\times$ acting on \mathbf{P}^1 via $(t_1, t_2) \cdot [x : y] = [t_1 x : t_2 y]$. Consider its canonical extension to a symplectic A -action on $X = T^*\mathbf{P}^1$. Consider the \mathbf{C}^\times -action on X given by scaling the fibers so that the symplectic structure has weight 2. This defines the $T = A \times \mathbf{C}^\times$ -action on X .

The fixed point locus X^A is $\{0, \infty\}$. The two equivariant roots are $(t_1, t_2) \mapsto (t_1/t_2)^{\pm 1}$. Let \mathfrak{C}_+ be the chamber containing the cocharacter $t \mapsto (t, 1)$ and \mathfrak{C}_- the opposite chamber.

From now on the chamber \mathfrak{C}_+ will be implicit. The attracting varieties are

$$\text{Attr}(\infty) = T_\infty^* \mathbf{P}^1, \quad \text{Attr}(0) = \mathbf{P}^1 \setminus \{\infty\}.$$

As $\{\infty\} \in \overline{\text{Attr}(0)}$, the partial order is $\{0\} > \{\infty\}$.

2. COHOMOLOGICAL STABLE ENVELOPES

Fix the data $(\mathbb{T}, X, \mathfrak{C})$ as before. The (cohomological) stable envelope will be a certain map

$$\text{Stab}: \mathbf{H}_{\mathbb{T}}^{\bullet}(X^{\mathbf{A}}) \longrightarrow \mathbf{H}_{\mathbb{T}}^{\bullet}(X)$$

of $\mathbf{H}_{\mathbb{T}}^{\bullet}(\text{pt})$ -modules. To define it, we need extra data. For an \mathbf{A} -equivariant vector bundle $V \rightarrow X$ we denote by $e^{\mathbf{A}}(V) \in \mathbf{H}_{\mathbf{A}}^{2 \dim(V)}(X)$ the equivariant Euler class (equal to the equivariant top Chern class of V).

For a connected component $F_i \subset X^{\mathbf{A}}$ we have a decomposition

$$\mathbb{T}_X|_{F_i} = \mathbb{T}_{F_i} \oplus \mathbb{N}_+ \oplus \mathbb{N}_-,$$

where \mathbb{T}_{F_i} is fixed by \mathbf{A} , \mathbb{N}_+ has positive and \mathbb{N}_- has negative \mathbf{A} -weights (with respect to the chamber \mathfrak{C}).

To define stable bases, one has to fix some signs. It is useful to assume one has a polarization of X . In the following we consider \mathbb{T} -equivariant K -theory of X and denote $\mathbf{K}_{\mathbf{C}^{\times}}(\text{pt}) = \mathbf{C}[q^{1/2}, q^{-1/2}]$.

Definition 2.1. A *polarization* of X is a choice of a virtual bundle $\mathbb{T}_X^{1/2} \in \mathbf{K}_{\mathbb{T}}(X)$ with an equality $\mathbb{T}_X^{1/2} + q^{-1}(\mathbb{T}_X^{1/2})^* = \mathbb{T}_X$ in $\mathbf{K}_{\mathbb{T}}(X)$.

Proposition 2.2. *Suppose X is a symplectic variety with a \mathbb{T} -action so that $\mathbf{C}^{\times} \subset \mathbb{T}$ scales the symplectic structure with weight 2. If $\mathbb{T}_X^{1/2}$ is a Lagrangian subbundle in \mathbb{T}_X , then it defines a polarization of X .*

Proof. We have an exact sequence of \mathbb{T} -equivariant vector bundles

$$0 \longrightarrow \mathbb{T}_X^{1/2} \longrightarrow \mathbb{T}_X \longrightarrow q^{-1}(\mathbb{T}_X^{1/2})^* \longrightarrow 0,$$

where we have used that the symplectic structure has weight 2 to identify $q(\mathbb{T}_X/\mathbb{T}_X^{1/2}) \cong (\mathbb{T}_X^{1/2})^*$. In K -theory this gives the equality

$$\mathbb{T}_X = \mathbb{T}_X^{1/2} + q^{-1}(\mathbb{T}_X^{1/2})^* \in \mathbf{K}_{\mathbb{T}}(X).$$

□

Example 2.3. Suppose Y is a smooth variety with an \mathbf{A} -action and consider the induced symplectic \mathbf{A} -action on $X = \mathbb{T}^*Y$. Extend it to a \mathbb{T} -action so that $\mathbf{C}^{\times} \subset \mathbb{T}$ acts on fibers with weight 2. Then the vertical tangent bundle of $X \rightarrow Y$ defines a Lagrangian subbundle and hence a polarization.

Given a polarization of X , we get a polarization of each component $F_i \subset X^{\mathbf{A}}$, i.e. we have a splitting

$$\mathbb{N}_{F_i} = \mathbb{N}^{1/2} + q^{-1}(\mathbb{N}^{1/2})^* \in \mathbf{K}_{\mathbb{T}}(F_i).$$

An element of $\mathbf{H}_{\mathbf{A}}^{\bullet}(\text{pt}) \cong \mathbf{C}[\mathfrak{a}]$ is a polynomial and we denote by $\deg_{\mathbf{A}}$ its degree. Since \mathbf{A} acts trivially on $X^{\mathbf{A}}$ we have

$$\mathbf{H}_{\mathbb{T}}^{\bullet}(X^{\mathbf{A}}) \cong \mathbf{H}_{\mathbf{C}^{\times}}^{\bullet}(X^{\mathbf{A}}) \otimes \mathbf{C}[\mathfrak{a}]$$

and so the degree $\deg_{\mathbf{A}}$ of elements of $\mathbf{H}_{\mathbb{T}}^{\bullet}(X^{\mathbf{A}})$ is also well-defined.

Example 2.4. Consider the \mathbf{A} -equivariant Euler class $e^{\mathbf{A}}(\mathbb{N}_-)$ of the negative normal bundle \mathbb{N}_- to F_i . We have

$$\deg_{\mathbf{A}} e^{\mathbf{A}}(\mathbb{N}_-) = \dim \mathbb{N}_- = \text{codim} F_i / 2.$$

Theorem 2.5. *Assume that $\dim(X^{\mathbf{A}}) = 0$ for simplicity. There is a unique map*

$$\text{Stab}: \mathbf{H}_{\mathbb{T}}^{\bullet}(X^{\mathbf{A}}) \longrightarrow \mathbf{H}_{\mathbb{T}}^{\bullet}(X)$$

of $\mathbf{H}_{\mathbb{T}}^{\bullet}(\text{pt})$ -modules, such that for any component $F_i \subset X^{\mathbf{A}}$ the stable envelope $\text{Stab}(i) = \text{Stab}(1_{F_i})$ satisfies

- (1) $\text{supp Stab}(i) \subset \text{Attr}^f(F_i)$,
- (2) $\text{Stab}(i)|_{F_i} = (-1)^{\dim \mathbb{N}_+^{1/2}} e^{\mathbb{T}}(\mathbb{N}_-) \cdot \gamma$,
- (3) $\deg_{\mathbf{A}}(\text{Stab}(i)|_{F_j}) < \text{codim} F_j / 2 = \deg_{\mathbf{A}}(\text{Stab}(j)|_{F_j})$ for any $F_j < F_i$.

Uniqueness is shown in [MO19, Theorem 3.3.4] and existence (under the more general assumptions stated here) is shown in [Oko21].

Example 2.6. Consider $X = \mathbb{T}^*\mathbf{P}^1$ and the \mathbb{T} -action on X from example 1.6. Fix the chamber \mathfrak{C}_+ and the polarization from example 2.3.

We claim that

$$\text{Stab}(0) = [\mathbf{P}^1] + [\mathbb{T}_\infty^*\mathbf{P}^1], \quad \text{Stab}(\infty) = -[\mathbb{T}_\infty^*\mathbf{P}^1]$$

satisfy the axioms.

Indeed, the support axiom is obvious. Next, identify

$$\mathbf{H}_\mathbb{T}^\bullet(\text{pt}) = \mathbb{C}[u_1, u_2, \hbar].$$

Then

$$\begin{aligned} [\mathbf{P}^1]|_0 &= u_2 - u_1 - \hbar \\ [\mathbf{P}^1]|_\infty &= u_1 - u_2 - \hbar \\ [\mathbb{T}_\infty^*\mathbf{P}^1]|_0 &= 0 \\ [\mathbb{T}_\infty^*\mathbf{P}^1]|_\infty &= u_2 - u_1, \end{aligned}$$

which can be computed from the excess intersection formula. E.g. $[\mathbf{P}^1]|_0 = e^\mathbb{T}(\mathbb{T}_0^*\mathbf{P}^1)$ and $[\mathbb{T}_\infty^*\mathbf{P}^1]|_\infty = e^\mathbb{T}(\mathbb{T}_\infty^*\mathbf{P}^1)$.

We have

$$e^\mathbb{T}(N_-) = u_2 - u_1 - \hbar, \quad N_+^{1/2} = 0$$

at $\{0\}$ and

$$e^\mathbb{T}(N_-) = u_2 - u_1, \quad N_+^{1/2} = \mathbb{T}_\infty^*\mathbf{P}^1$$

at $\{\infty\}$ which proves the second axiom.

Finally, we have

$$\text{Stab}(0)|_\infty = u_1 - u_2 - \hbar + u_2 - u_1 = -\hbar$$

which has \mathbf{A} -degree $0 < (\text{codim}\{0\})/2 = 1$, which verifies the last axiom.

The pullback along the zero section induces an isomorphism

$$\mathbf{H}_\mathbb{T}^\bullet(\mathbb{T}^*\mathbf{P}^1) \cong \mathbb{C}[x, u_1, u_2, \hbar]/(x + u_1)(x + u_2).$$

The restriction to 0 is $x \mapsto -u_2$ and the restriction to ∞ is $x \mapsto -u_1$. Under this isomorphism $[\mathbb{T}_\infty^*\mathbf{P}^1]$ goes to $x + u_2$ and the stable envelopes are

$$\text{Stab}(0) = -u_1 - x - \hbar, \quad \text{Stab}(\infty) = -u_2 - x.$$

3. GENERAL STABLE ENVELOPES

For a smooth complex variety X with an action of a torus \mathbb{T} we can consider the following generalized cohomology theories:

- Equivariant cohomology $\mathbf{H}_\mathbb{T}^\bullet(X)$.
- Equivariant K -theory $\mathbf{K}_\mathbb{T}(X)$.
- Equivariant elliptic cohomology $\text{Ell}_\mathbb{T}(X)$ which depends on an elliptic curve E .

Correspondingly, there are stable envelope constructions in all of these three settings: the K -theoretic stable envelopes are defined in [Oko17] and the elliptic stable envelopes are defined in [AO21].

In the case of Nakajima quiver varieties the stable envelopes allow one to construct R -matrices for the following quantum groups:

- Yangian $Y(\mathfrak{g})$ from cohomological stable envelopes.
- Quantum affine algebra $U_q(\widehat{\mathfrak{g}})$ from K -theoretic stable envelopes.
- Elliptic quantum groups $E_{\tau, \eta}(\mathfrak{g})$ from elliptic stable envelopes.

These also admit categorifications. Namely, equivariant K -theory $\mathbf{K}_\mathbb{T}(X)$ can be *categorified* to the equivariant derived category $\text{D}^b\text{Coh}_\mathbb{T}(X)$. Correspondingly, there are expected to be stable envelopes in this setting which would be *functors*

$$\text{D}^b\text{Coh}_\mathbb{T}(X^A) \longrightarrow \text{D}^b\text{Coh}_\mathbb{T}(X).$$

This is currently a work in progress by Halpern-Leistner, Maulik and Okounkov.

The elliptic cohomology of X over the Tate elliptic curve $E = \mathbf{C}^\times/q^{\mathbf{Z}}$ defined over $\mathbf{C}((q))$ is closely related to the ‘‘Tate K -theory’’ [KM07] which is the \mathbf{C}^\times -equivariant K -theory of the algebraic loop space $LX = \text{Map}(\text{Spec } \mathbf{C}((t)), X)$, where \mathbf{C}^\times acts on LX by scaling t . More precisely, for the Tate elliptic curve we should have

$$\text{Ell}(X) \cong \mathbf{K}_{\mathbf{C}^\times}(X) \otimes_{\mathbf{Z}[q, q^{-1}]} \mathbf{C}((q)).$$

This again can be categorified. We refer to [MSY20] for some developments in this direction.

4. K-THEORETIC STABLE ENVELOPES

In this section we explain stable envelopes in the setting of equivariant K -theory $\mathbf{K}_T(X)$. Let us recall some basic facts about (equivariant) K -theory:

- For a torus T we have

$$\mathbf{K}_T(\text{pt}) = \mathbf{Z}[\Lambda],$$

the group algebra of the character lattice $\Lambda = \text{Hom}(T, \mathbf{C}^\times)$.

- If X is a T -variety, then $\mathbf{K}_T(X)$ is a $\mathbf{K}_T(\text{pt})$ -algebra.
- If E is a coherent sheaf over a smooth variety X , then it defines a class $[E] \in \mathbf{K}(X)$ and similarly for the equivariant version.
- If E is a vector bundle over X , then it has the Euler class

$$e(E) = \sum_{i=0}^{\dim E} (-1)^i \left[\bigwedge^i E^* \right].$$

- For a T -equivariant morphism $f: X \rightarrow Y$ of smooth varieties we have the pullback morphism

$$f^*: \mathbf{K}_T(Y) \longrightarrow \mathbf{K}_T(X).$$

If f is proper, then we also have the pushforward morphism

$$f_*: \mathbf{K}_T(X) \longrightarrow \mathbf{K}_T(Y).$$

- Suppose

$$\begin{array}{ccc} Y' & \xrightarrow{i'} & X' \\ \downarrow f' & & \downarrow f \\ Y & \xrightarrow{i} & X \end{array}$$

is a Cartesian diagram of quasi-compact quasi-separated schemes, where i and i' are regular closed immersions, so that there is an exact sequence of vector bundles

$$0 \longrightarrow N_{Y'/X'} \longrightarrow (f')^* N_{Y/X} \longrightarrow \Delta \longrightarrow 0.$$

Then there is an excess intersection formula (see [Tho93, Théorème 3.1])

$$f^* i_*(-) = i'_*(e(\Delta) \cdot (f')^*(-))$$

of maps $\mathbf{K}(Y) \rightarrow \mathbf{K}(X')$. An analogous formula holds in the equivariant context (see [Kha21, Corollary 0.2]).

- If $p: V \rightarrow X$ is a vector bundle with $i: X \rightarrow V$ the zero section, then i^* and p^* induce isomorphisms

$$\mathbf{K}(X) \cong \mathbf{K}(V).$$

An analogous formula holds in the equivariant context.

Example 4.1. If $i: Y \hookrightarrow X$ is a regular closed immersion, then the excess intersection formula gives $i^* i_*(-) = e(N_{Y/X}) \cdot (-)$.

Remark 4.2. If E is a vector bundle of rank r over X , then

$$\text{ch}(\bigwedge^\bullet E^*) = c_r(E).$$

In particular, the K -theoretic Euler class goes to the cohomological Euler class under the Chern character.

We continue with the previous assumptions on X . In particular, we assume that it comes with a polarization $\mathbb{T}_X^{1/2} \in \mathbb{K}_\mathbb{T}(X)$. Given a component $F_i \subset X^\mathbb{A}$ recall that the polarization gives elements $\mathbb{N}^{1/2} \in \mathbb{K}_\mathbb{T}(F_i)$ such that

$$\mathbb{N}_{F_i} = \mathbb{N}^{1/2} + q^{-1}(\mathbb{N}^{1/2})^*.$$

Further splitting these into positive and negative \mathbb{A} -weights (with respect to \mathfrak{C}) we get an equality

$$\mathbb{N}_- = \mathbb{N}_-^{1/2} + q^{-1}(\mathbb{N}_+^{1/2})^*$$

and similarly for positive weights. In particular,

$$\mathbb{N}_- - \mathbb{N}^{1/2} = q^{-1}(\mathbb{N}_+^{1/2})^* - \mathbb{N}_+^{1/2}.$$

Its determinant is

$$\frac{\det \mathbb{N}_-}{\det \mathbb{N}^{1/2}} = q^{-\dim \mathbb{N}_+^{1/2}} \left(\det \mathbb{N}_+^{1/2} \right)^{\otimes (-2)}.$$

In particular, it has a canonical square root (recall that $q^{1/2} \in \mathbb{K}_\mathbb{T}(X)$)

$$\left(\frac{\det \mathbb{N}_-}{\det \mathbb{N}^{1/2}} \right)^{1/2} = q^{-\dim \mathbb{N}_+^{1/2}/2} (\det \mathbb{N}_+^{1/2})^{-1}.$$

To define K -theoretic stable envelopes we also have to choose a **slope** parameter

$$\mathcal{L} \in \text{Pic}(X) \otimes_{\mathbb{Z}} \mathbb{R}.$$

Just like in the case of equivariant parameters, there are **Kähler roots** which define walls (*affine* hyperplanes) in $\text{Pic}(X) \otimes_{\mathbb{Z}} \mathbb{R}$. We say a slope $\mathcal{L} \in \text{Pic}(X) \otimes_{\mathbb{Z}} \mathbb{R}$ is **generic** if it does not lie on a wall. Note that we may choose a lift of the slope to a class in $\text{Pic}_\mathbb{A}(X) \otimes_{\mathbb{Z}} \mathbb{R}$; the choice of a lift will not matter.

Theorem 4.3. *Assume that $\dim(X^\mathbb{A}) = 0$ for simplicity. For a generic slope \mathcal{L} there is a unique map*

$$\text{Stab}: \mathbb{K}_\mathbb{T}(X^\mathbb{A}) \longrightarrow \mathbb{K}_\mathbb{T}(X)$$

of $\mathbb{K}_\mathbb{T}(\text{pt})$ -modules, such that for any component $F_i \subset X^\mathbb{A}$ the stable envelope $\text{Stab}(i) = \text{Stab}(1_{F_i})$ satisfies

- (1) $\text{supp Stab}(i) \subset \text{Attr}^f(F_i)$,
- (2) $\text{Stab}(i)|_{F_i} = (-1)^{\dim \mathbb{N}_+^{1/2}} \left(\frac{\det \mathbb{N}_-}{\det \mathbb{N}^{1/2}|_{F_i}} \right)^{1/2} e^\mathbb{T}(N_-)$,
- (3) $\deg_\mathbb{A}(\text{Stab}(i)|_{F_j}) \subset \deg_\mathbb{A}(\text{Stab}(j)|_{F_j}) + \mathcal{L}|_{F_j} - \mathcal{L}|_{F_i}$ for any $F_j < F_i$.

Remark 4.4. Call the complement of the walls in $\text{Pic}(X) \otimes_{\mathbb{Z}} \mathbb{R}$ an **alcove**. For any two slopes lying in the same alcove the K -theoretic stable envelopes are the same.

Remark 4.5. Stable envelopes also exist for non-generic slopes. But if a slope lies on a wall, uniqueness fails: the stable envelopes for the adjacent alcoves satisfy the axioms.

Example 4.6. Consider $X = \mathbb{T}^*\mathbb{P}^1$ and the \mathbb{T} -action on X from example 1.6. Fix the chamber \mathfrak{C}_+ and the polarization from example 2.3. We have $\text{Pic}(X) = \mathbb{Z}$ generated by $\mathcal{O}_{\mathbb{P}^1}(1)$. Consider the slope $\mathcal{L} = \mathcal{O}(-\epsilon) \in \text{Pic}(X) \otimes_{\mathbb{Z}} \mathbb{R}$ for $0 < \epsilon < 1$. Let

$$\mathbb{K}_\mathbb{T}(\text{pt}) = \mathbb{Z}[u_1^{\pm 1}, u_2^{\pm 1}, q^{\pm 1/2}].$$

We claim that

$$\text{Stab}(0) = [\mathcal{O}_{\mathbb{P}^1}] + qu_2u_1^{-1}[\mathcal{O}_{\mathbb{T}_\infty^* \mathbb{P}^1}], \quad \text{Stab}(\infty) = -q^{1/2}u_2u_1^{-1}[\mathcal{O}_{\mathbb{T}_\infty^* \mathbb{P}^1}].$$

The support axiom is obvious. By the excess intersection formula

$$\begin{aligned} [\mathcal{O}_{\mathbb{P}^1}]|_0 &= 1 - u_1u_2^{-1}q \\ [\mathcal{O}_{\mathbb{P}^1}]|_\infty &= 1 - u_2u_1^{-1}q \\ [\mathcal{O}_{\mathbb{T}_\infty^* \mathbb{P}^1}]|_0 &= 0 \\ [\mathcal{O}_{\mathbb{T}_\infty^* \mathbb{P}^1}]|_\infty &= 1 - u_1u_2^{-1} \end{aligned}$$

At $\{0\}$ we have $\mathbb{N}_+^{1/2} = 0$, so the normalization axiom is

$$\text{Stab}(0)|_0 = 1 - qu_1u_2^{-1}$$

which is obviously satisfied. At $\{\infty\}$ we have $N_+^{1/2} = T_\infty^* \mathbf{P}^1$ which has class $u_1 u_2^{-1} q^{-1}$ in K -theory, so the normalization axiom is

$$\text{Stab}(\infty)|_\infty = \frac{-q^{-1/2}}{u_1 u_2^{-1} q^{-1}} (1 - u_1 u_2^{-1})$$

which is again satisfied.

Finally, we have to check the degree axiom for $\infty < 0$. Since all expressions involve $a = u_2 u_1^{-1}$, it will be convenient to consider the degree with respect to this variable. We have $\deg(\text{Stab}(\infty)|_\infty) = [0, 1]$. Similarly, since

$$\text{Stab}(0)|_\infty = 1 - q$$

we have $\deg_A(\text{Stab}(0)|_\infty) = [0, 0]$.

$\mathcal{O}_{\mathbf{P}^1}(2) = T_{\mathbf{P}^1}$ lifts to an A -equivariant line bundle with $\mathcal{O}_{\mathbf{P}^1}(2)|_\infty$ having class $u_2 u_1^{-1}$ and $\mathcal{O}_{\mathbf{P}^1}(2)|_0$ having class $u_1 u_2^{-1}$ in equivariant K -theory. Therefore, the degree axiom is that $\deg(\text{Stab}(0)|_\infty) = [0, 0]$ is contained in $[0, 1] - \epsilon = [-\epsilon, 1 - \epsilon]$. So, it is also satisfied.

The pullback along the zero section induces an isomorphism

$$K_T(T^* \mathbf{P}^1) \cong \mathbf{Z}[x^{\pm 1}, u_1^{\pm 1}, u_2^{\pm 1}, q^{\pm 1/2}] / (1 - x u_1)(1 - x u_2).$$

The restriction to 0 is $x \mapsto u_2^{-1}$ and the restriction to ∞ is $x \mapsto u_1^{-1}$. Under this isomorphism $[\mathcal{O}_{T_\infty^* \mathbf{P}^1}]$ goes to $1 - x^{-1} u_2^{-1}$ and the stable envelopes are

$$\text{Stab}(0) = 1 - u_1 u_2 x^2 q + q u_2 u_1^{-1} (1 - x^{-1} u_2^{-1}), \quad \text{Stab}(\infty) = -q^{1/2} u_2 u_1^{-1} (1 - x^{-1} u_2^{-1}).$$

Example 4.7. Consider the previous example with the trivial slope $\mathcal{L} = \mathcal{O}_{\mathbf{P}^1}$. Then

$$\text{Stab}(0) = [\mathcal{O}_{\mathbf{P}^1}] + u_2 u_1^{-1} [\mathcal{O}_{T_\infty^* \mathbf{P}^1}], \quad \text{Stab}(\infty) = -q^{1/2} u_2 u_1^{-1} [\mathcal{O}_{T_\infty^* \mathbf{P}^1}].$$

also satisfies the stable envelope axioms. To see that it is different from the previous expression observe that

$$\text{Stab}(0)|_\infty = u_2 u_1^{-1} (1 - q).$$

Thus, for the trivial slope the uniqueness of stable envelopes fails. As explained before, it is the stable envelope for the slope $\mathcal{L} = \mathcal{O}_{\mathbf{P}^1}(\epsilon)$ for $0 < \epsilon < 1$.

5. K-THEORETIC STABLE ENVELOPES FOR COTANGENT BUNDLES OF FLAG VARIETIES

Let G be a connected simply-connected semisimple complex algebraic group, $B \subset G$ a Borel subgroup and $\mathcal{B} = G/B$ the flag variety. In this section we will consider $X = T^* \mathcal{B}$ with its $G \times \mathbf{C}^\times$ -action, where G acts on \mathcal{B} in the obvious way and \mathbf{C}^\times scales the fibers with weight 2. We let $A \subset G$ be the maximal torus. Let W be the Weyl group, $\Lambda = \text{Hom}(A, \mathbf{C}^\times)$ the weight lattice. For $s, t \in W$ denote by $n_{s,t}$ the order of $st \in W$.

Let $\mathcal{N} \subset \mathfrak{g}^*$ be the nilpotent cone with $T^* \mathcal{B} \rightarrow \mathcal{N}$ the moment map for the G -action and $\text{St} = T^* \mathcal{B} \times_{\mathcal{N}} T^* \mathcal{B}$ the Steinberg variety. Recall the affine Hecke algebra.

Definition 5.1. The *affine Hecke algebra* \mathbf{H} is the $\mathbf{Z}[q^{1/2}, q^{-1/2}]$ -algebra with generators T_s (for s a simple reflection) and θ_x (for $x \in \Lambda$ a character) with the relations

$$\begin{aligned} \underbrace{T_s T_t \dots}_{n_{st}} &= \underbrace{T_t T_s \dots}_{n_{st}} \\ \theta_x \theta_y &= \theta_{x+y} \\ T_s \theta_x &= \theta_x T_s, \quad s(x) = x \\ \theta_x &= T_{s_\alpha} \theta_{x-\alpha} T_{s_\alpha} \\ (T_s + q^{-1/2})(T_s - q^{1/2}) &= 0. \end{aligned}$$

For $w \in W$ we denote by $T_w \in \mathbf{H}$ the element obtained by writing a reduced expression of w . There is a natural algebra structure on $K_{G \times \mathbf{C}^\times}(\text{St})$ given by convolution. Moreover, there is a natural $K_{G \times \mathbf{C}^\times}(\text{St})$ -module structure on $K_T(T^* \mathcal{B})$.

Theorem 5.2 (Kazhdan–Lusztig, Ginzburg). *There is an isomorphism of algebras*

$$K_{G \times \mathbf{C}^\times}(\text{St}) \cong \mathbf{H}.$$

The equivariant roots in this case coincide with the usual roots for G . So, as the chamber \mathfrak{C} we may take the chamber of positive roots with respect to the Borel B . We may identify the fixed points with

$$X^\Lambda \cong W,$$

where $w = e \in W$ corresponds to $B \in \mathcal{B}$. Consider the polarization from example 2.3. We may identify

$$\text{Pic}(X) \cong \Lambda.$$

We define the *negative fundamental alcove* of $\Lambda \otimes_{\mathbf{Z}} \mathbf{R}$ to consist of weights λ such that

$$-1 < (\lambda, \alpha^\vee) < 0$$

for all positive roots α . From now on the slope $\mathcal{L} \in \Lambda \otimes_{\mathbf{Z}} \mathbf{R}$ is an arbitrary element of the negative fundamental alcove. The stable envelopes will define K -theoretic classes

$$\text{Stab}(w) \in K_{\mathbb{T}}(\mathbb{T}^*\mathcal{B}).$$

The following is shown in [SZZ20].

Theorem 5.3.

- (1) $\text{Stab}(e) = [\mathcal{O}_{\mathbb{T}_e^*\mathcal{B}}]$.
- (2) For any $w \in W$ one has $\text{Stab}(w) = T_{w^{-1}}(\text{Stab}(e))$.

Remark 5.4. In the case when \mathfrak{C} is the *negative* Weyl chamber one has

$$\text{Stab}(w_0) = (-q^{1/2})^{\dim(G/B)} e^{2\rho} [\mathcal{O}_{\mathbb{T}_{w_0}^*\mathcal{B}}].$$

This coincides with the calculation of $\text{Stab}(\infty)$ in example 4.6 for $G = \text{SL}_2$.

6. DEFORMATION QUANTIZATION IN POSITIVE CHARACTERISTIC AND STABLE ENVELOPES

In this section we assume that X is a conical \mathbf{A} -equivariant symplectic resolution. In particular, it implies that $H^i(X, \mathcal{O}) = 0$ for $i > 0$. In this case

$$\text{Pic}(X) \otimes_{\mathbf{Z}} \mathbf{C} \cong H^2(X; \mathbf{C})$$

by taking the first Chern class.

According to [BK04] filtered deformation quantizations of X are parametrized by $\lambda \in H^2(X; \mathbf{C})$ which can be made \mathbf{A} -equivariant. It is also expected that one can quantize the Lagrangian correspondence $X \leftarrow \text{Attr} \rightarrow X^\Lambda$ to define a *parabolic induction* functor from deformation quantization modules on X^Λ to deformation quantization modules on X . It is expected (the ongoing work of Bezrukavnikov and Okounkov) that it is related to K -theoretic stable envelopes and their categorifications via reductions mod p which we will briefly recall.

If X is a symplectic variety over a field of positive characteristic, its deformation quantization A_λ often has a large center, so that A localizes to a sheaf of Azumaya algebras \mathcal{A}_λ over the Frobenius twist $X^{(1)}$ of X . In certain cases \mathcal{A}_λ might be canonically split, i.e. it is isomorphic to the endomorphism algebra of a vector bundle on $X^{(1)}$. In this case we get an equivalence

$$D_{\mathbb{T}}^b(\mathcal{A}_\lambda - \text{mod}) \cong D^b \text{Coh}_{\mathbb{T}}(X^{(1)})$$

of the corresponding derived categories. This is expected to happen for quantization parameters

$$\mathcal{L} \in \frac{1}{p} \text{Pic}(X) \subset \text{Pic}(X) \otimes_{\mathbf{Z}} \mathbf{Q}$$

which lie away from the same affine hyperplanes that appear in the definition of K -theoretic stable envelopes. We refer to [Los17] for some results in this direction.

So, the parabolic induction functor has a “classical shadow” given by a functor

$$D^b \text{Coh}_{\mathbb{T}}(X^\Lambda) \longrightarrow D^b \text{Coh}_{\mathbb{T}}(X)$$

which categorifies the K -theoretic stable envelope. In this section we describe how this works for $X = \mathbb{T}^*\mathcal{B}$, the cotangent bundle of the flag variety, following [SZZ21].

Let ρ be the Weyl vector and consider the affine hyperplanes

$$H_{\alpha^\vee, n}^p = \{\lambda' \in \Lambda \otimes_{\mathbf{Z}} \mathbf{Q} \mid \langle \alpha^\vee, \lambda' + \rho \rangle = np\}.$$

Consider the fundamental alcove containing $(\epsilon - 1)\rho$ for a small positive ϵ . We say $\lambda' \in \Lambda \otimes_{\mathbf{Z}} \mathbf{Q}$ is *regular* if it does not lie on the affine hyperplanes.

Remark 6.1. Let

$$\lambda = -\frac{\lambda' + \rho}{p}.$$

Then λ' is *regular* in the above sense if, and only if, the slope $\lambda \in \Lambda \otimes_{\mathbf{Z}} \mathbf{Q}$ is *generic* as in the definition of K -theoretic stable envelopes. Moreover, λ' lies in the fundamental alcove if, and only if, λ lies in the negative fundamental alcove, i.e.

$$-1 < \langle \alpha^\vee, \lambda \rangle < 0$$

for every positive root α .

For a regular integral λ' the papers [BMR06; BMR08] have established a localization result identifying a certain derived category of \mathfrak{g} -representations over an algebraically closed field k of positive characteristic p and $D^b\text{Coh}_{\mathbb{T}_k}(\mathbb{T}^*\mathcal{B}^{(1)})$. We denote this equivalence by $\gamma^{\lambda'}$.

Now consider the Verma module $Z(w(\lambda' + \rho) + \rho)$ with the corresponding highest weight over \mathfrak{g} . In particular,

$$\gamma^{\lambda'} Z(w(\lambda' + \rho) + \rho) \in D^b\text{Coh}_{\mathbb{T}_k}(\mathbb{T}^*\mathcal{B}^{(1)}).$$

Let $\mathcal{B}_{\mathbf{Z}}$ be the \mathbf{Z} -form of the flag variety. The following is [SZZ21, Theorem 1.2].

Theorem 6.2. *Suppose p is greater than the Coxeter number of G , $\lambda' \in \Lambda \otimes_{\mathbf{Z}} \mathbf{Q}$ is regular and integral and let*

$$\lambda = -\frac{\lambda' + \rho}{p}.$$

There are objects $\text{Stab}_{\mathbf{Z}}^\lambda(w) \in D^b\text{Coh}_{\mathbb{T}_{\mathbf{Z}}}(\mathbb{T}^\mathcal{B}_{\mathbf{Z}})$ with the following properties:*

- *We have an equality*

$$[\text{Stab}_{\mathbf{Z}}^\lambda(w) \otimes_{\mathbf{Z}} \mathbf{C}] = e^{-\rho} \text{Stab}(w) \in K_{\mathbb{T}}(\mathbb{T}^*\mathcal{B}),$$

where we use λ as the slope in the definition of K -theoretic stable envelopes.

- *We have an isomorphism*

$$\text{Stab}_{\mathbf{Z}}^\lambda(w) \otimes_{\mathbf{Z}} k \cong \mathcal{L}_{-\rho} \otimes \gamma^{\lambda'} Z(w(\lambda' + \rho) + \rho) \in D^b\text{Coh}_{\mathbb{T}_k}(\mathbb{T}^*\mathcal{B}^{(1)}),$$

where k is an algebraically closed field of characteristic p and $\mathcal{L}_{-\rho}$ is the corresponding $G \times \mathbf{G}_m$ -equivariant line bundle on $\mathbb{T}^\mathcal{B}$.*

Quantizing $\mathbb{T}^*\mathcal{B}$ in positive characteristic we get the representation category of \mathfrak{g} by the Beilinson–Bernstein localization and the corresponding parabolic induction functor quantizing

$$W \longleftarrow \text{Attr} \longrightarrow \mathbb{T}^*\mathcal{B}$$

sends w to the corresponding Verma module. The above theorem asserts a compatibility between quantization in positive characteristic and stable envelopes.

7. STABLE ENVELOPES FOR COTANGENT BUNDLES

Consider the setting of example 2.3, so that Y is a smooth variety with an A -action and $X = \mathbb{T}^*Y$ with a $\mathbb{T} = A \times \mathbf{C}^\times$ -action. We fix a chamber \mathfrak{C} . Let Attr^X be the attracting variety in X and Attr^Y the attracting variety in Y . In this case the Lagrangian correspondence

$$\begin{array}{ccc} & \text{Attr}^X & \\ & \swarrow & \searrow \\ X^A & & X \end{array}$$

becomes

$$\begin{array}{ccc} & \mathbb{N}^* \text{Attr}^Y & \\ & \swarrow \quad \searrow & \\ \mathbb{T}^* Y^A & & \mathbb{T}^* Y \end{array}$$

which is the conormal bundle of the correspondence

$$\begin{array}{ccc} & \text{Attr}^Y & \\ & \swarrow \quad \searrow & \\ Y^A & & Y \end{array}$$

p η

Let $\text{MHM}(Y)$ be the category of \mathbb{A} -equivariant mixed Hodge modules on Y and $\text{D}^b\text{MHM}_{\mathbb{A}}(Y)$ the corresponding equivariant derived category which admits a six-functor formalism [Ach13]. For us it is important to know that a mixed Hodge module has an underlying filtered D -module. In particular, there is a functor

$$\text{gr} \circ \text{DR}: \text{D}^b\text{MHM}_{\mathbb{A}}(Y) \longrightarrow \text{D}^b\text{Coh}_{\mathbb{T}}(Y)$$

passing to the associated graded of the filtration on the de Rham complex.

We will be interested in the functor

$$\eta_! p^*: \text{D}^b\text{MHM}_{\mathbb{A}}(Y^A) \longrightarrow \text{D}^b\text{MHM}_{\mathbb{A}}(Y).$$

For $\mathcal{L} \in \text{Pic}(X) \otimes_{\mathbb{Z}} \mathbb{Q}$ we may also consider the category of twisted mixed Hodge modules which we denote by $\text{MHM}^{\mathcal{L}}(Y)$.

Theorem 7.1. *There is a commutative diagram*

$$\begin{array}{ccc} \text{K}_0(\text{D}^b\text{MHM}_{\mathbb{A}}^{\mathcal{L}}(Y^A)) & \xrightarrow{\eta_! p^*} & \text{K}_0(\text{D}^b\text{MHM}_{\mathbb{A}}^{\mathcal{L}}(Y)) \\ \downarrow \text{gr} \circ \text{DR} & & \downarrow \text{gr} \circ \text{DR} \\ \text{K}_{\mathbb{T}}(Y^A) & & \text{K}_{\mathbb{T}}(Y) \\ \sim \downarrow \pi^* & & \sim \downarrow \pi^* \\ \text{K}_{\mathbb{T}}(X^A) & \xrightarrow{\text{Stab}} & \text{K}_{\mathbb{T}}(X) \end{array}$$

Here the vertical isomorphisms on K -theory are induced by pullbacks under the projections $\pi: \mathbb{T}^* Y \rightarrow Y$ and $\pi: \mathbb{T}^* Y^A \rightarrow Y^A$ and the K -theoretic stable envelope at the bottom involves \mathcal{L} as the slope parameter.

Remark 7.2. The above theorem is a combination of the following results:

- (1) For a subvariety $i: U \hookrightarrow Y$ the class in K -theory of the mixed Hodge module $i_! \mathbb{Q}_U$ is the equivariant motivic Chern class. Motivic Chern classes (along with the relationship to mixed Hodge modules) were introduced in [BSY10]; their equivariant version was introduced in [FRW21] and their relationship to equivariant mixed Hodge modules was explained in [DM20].
- (2) The K -theoretic stable envelope for the cotangent bundle coincides with the equivariant motivic Chern class of the corresponding attracting set [FRW21].

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